



09-01-06

RD-28408  
PATENT

IN THE UNITED STATES OFFICE OF PATENTS AND TRADEMARKS

Applicant: Keyes et al. :  
Serial No.: 09/871,341 : Art Unit: 3628  
Filed: May 31, 2001 : Examiner: Clement B. Graham  
For: METHODS AND SYSTEMS :  
FOR PORTFOLIO CASH FLOW :  
VALUATION :

**CERTIFICATE OF MAILING BY EXPRESS MAIL TO  
THE COMMISSIONER OF PATENTS AND TRADEMARKS**

Express Mail mailing label number: **EV734454716US**

Date of Mailing: **August 31, 2006**

I certify that the documents listed below:

- Request for Reconsideration in Response to Office Action dated May 3, 2005 (12 pages)
- Amendment Transmittal (3 pages, in duplicate)
- Petition for Extension of Time (1 page, in duplicate)
- Certificate of Express Mailing (1 page)
- Return Post Card

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to: Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Daniel M. Fitzgerald

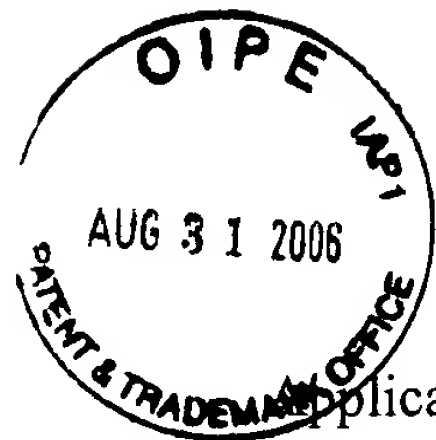
Reg. No. 38,880

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(314) 621-5070



PATENT  
Attorney Docket No.: RD-28408

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.: 09/871,341  
Filed: May 31, 2001  
For: METHODS AND SYSTEMS  
FOR PORTFOLIO CASH  
FLOW VALUATION  
Group No.: 3628  
Examiner: Clement B. Graham

**Mail Stop Amendment**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**TRANSMITTAL**

- Transmitted herewith is:  
Request for Reconsideration in Response to Office Action dated May 3, 2006 (12 pages); Amendment Transmittal (3 pages, in duplicate); Petition for Extension of Time (1 page, in duplicate); Certificate of Express Mail (1 page); Return postcard

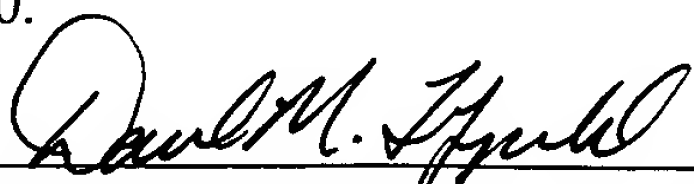
**STATUS**

- Applicant  
☐ claims small entity status.  
☒ is other than a small entity.

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Daniel M. Fitzgerald, Reg. No. 38,880

### EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☒ Applicant petitions for an extension of time under 37 C.F.R. 1.136  
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension for response within:	Other than small entity Fee	Small entity Fee (if applicable)
<input checked="" type="checkbox"/> first month	\$ 120.00	\$ 60.00
<input type="checkbox"/> second month	\$ 450.00	\$ 225.00
<input type="checkbox"/> third month	\$ 1,020.00	\$ 510.00
<input type="checkbox"/> fourth month	\$1,590.00	\$ 795.00
<input type="checkbox"/> fifth month	\$2,160.00	\$1,080.00

Fee: \$120.00

If an additional extension of time is required, please consider this a petition therefor.

*(Check and complete the next item, if applicable)*

— An extension of \_\_\_\_\_ months has already been secured. The fee paid therefor \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$120.00

OR

- (b) — Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

## FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	SMALL ENTITY		OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDITIONAL RATE FEE	OR	ADDITIONAL RATE FEE
TOTAL INDEP.		MINUS		=	x \$25.00 = \$		x \$50.00 = \$
		MINUS		=	x \$100.00 = \$		x \$200.00 = \$
— FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$180.00 = \$		+ \$360.00 = \$
					TOTAL ADDITIONAL FEE \$	OR	TOTAL ADDITIONAL FEE \$

(a) ☒ No additional fee for Claims is required

**OR**

(b) ☐ Total additional fee for claims required \$ \_\_\_\_\_

## FEE PAYMENT

5. Attached is a check in the sum of \$ \_\_\_\_\_

☒ Charge Deposit Account No. 01-2384 the sum of \$120.00.  
A duplicate of this transmittal is attached.

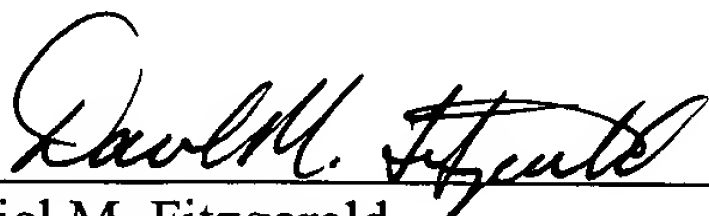
## FEE DEFICIENCY

6. ☒ If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

**AND/OR**

☒ If any additional fee for claims is required, charge Deposit Account No. 01-2384.

7. ☐ Other:

  
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